

Self appraisal report for Year (2023-24)

Auditor Agency: Sunil Dutt(National Institute of Technical Teachers Training & Research (Ministry of Education, Govt. of India), Sector-26, Chandigarh 160 019 (India).)

Ministry Name: Ministry of Finance

Department Name: Department of Financial Services

Public Authority Name: Baroda U.P. Gramin Bank

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1	Organisation and Function							
1.1	Particulars of its organisation, functions and duties[Section 4(1)(b)(i)]							
1.1.1	Name and address of the Organization	Fully Met	1.28	1.28	https://barodaupbank.in/about.php	Fully Met	1.28	https://barodaupbank.in/ ; https://barodaupbank.in/rti.php ; https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
1.1.2	Head of the organization	Fully Met	1.28	1.28	https://barodaupbank.in/board_of_directors.php	Fully Met	1.28	Same as above; https://barodaupbank.in/Chairman-Message.php
1.1.3	Vision, Mission and Key objectives	Fully Met	1.28	1.28	https://barodaupbank.in/mission-and-vision.php	Fully Met	1.28	https://barodaupbank.in/mission-and-vision.php
1.1.4	Function and duties	Fully Met	1.28	1.28	Please refer	Fully Met	1.28	Same as

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					point 4.b.i on RTI Page - https://barodaupbank.in/rti.php			above; https://barodaupbank.in/pdf/BUPB%20SERVICE%20REGULATIONS.pdf
1.1.5	Organization Chart	Fully Met	1.28	1.28	Please refer point 4.b.i on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.28	Same as above; https://barodaupbank.in/webdata/pdf/Organization%20structure.pdf
1.1.6	Any other details-the genesis, inception, formation of the department and the HoDs from time to time as well as the committees/ Commissions constituted from time to time have been dealt	Fully Met	1.28	1.28	Please refer point 4.b.viii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.28	https://barodaupbank.in/about.php
1.2	Power and duties of its officers and employees[Section 4(1) (b)(ii)]							
1.2.1	Powers and duties of officers (administrative, financial and judicial)	Fully Met	1.54	1.54	Please refer point 4.b.ii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; https://barodaupbank.in/pdf/BUPB%20SERVICE%20REGULATIONS.pdf
1.2.2	Power and duties of other employees	Fully Met	1.54	1.54	Please refer point 4.b.ii on	Fully Met	1.54	Same as above

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					RTI Page - https://barodaupbank.in/rti.php			
1.2.3	Rules/ orders under which powers and duty are derived and	Fully Met	1.54	1.54	Please refer point 4.b.ii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	Same as above
1.2.4	Exercised	Fully Met	1.54	1.54	Please refer point 4.b.ii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	Same as above
1.2.5	Work allocation	Fully Met	1.54	1.54	Please refer point 4.b.ii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	Same as above
1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]							
1.3.1	Process of decision making Identify key decision making points	Fully Met	1.54	1.54	Please refer point 4.b.iii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; https://barodaupbank.in/pdf/BU-PB%20SERVICE%20REGULATIONS.pdf
1.3.2	Final decision making authority	Fully Met	1.54	1.54	Please refer point 4.b.iii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	Same as above

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					ps://barodaupbank.in/rti.php			
1.3.3	Related provisions, acts, rules etc.	Fully Met	1.54	1.54	Please refer point 4.b.iii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	Same as above
1.3.4	Time limit for taking a decisions, if any	Fully Met	1.54	1.54	https://barodaupbank.in/	Fully Met	1.54	Same as above
1.3.5	Channel of supervision and accountability	Fully Met	1.54	1.54	Please refer point 4.b.iii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	Same as above
1.4	Norms for discharge of functions[Section 4(1)(b)(iv)]							
1.4.1	Nature of functions/ services offered	Fully Met	1.54	1.54	https://barodaupbank.in/	Fully Met	1.54	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
1.4.2	Norms/ standards for functions/ service delivery	Fully Met	1.54	1.54	Please refer point 4.b.iv on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.54	Same as above
1.4.3	Process by which these services can be accessed	Fully Met	1.54	1.54	Services can be accessed by following segregation & path provided at website at - https://baroda	Fully Met	1.54	Same as above

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					upbank.in/ https://barodaupbank.in/			
1.4.4	Time-limit for achieving the targets	Fully Met	1.54	1.54	https://barodaupbank.in/	Fully Met	1.54	Same as above
1.4.5	Process of redress of grievances	Fully Met	1.54	1.54	Please refer customer grievance policy on Page - https://barodaupbank.in/customer.php	Fully Met	1.54	Same as above; https://barodaupbank.in/webdata/pdf/Complaint_redressal_policy.pdf
1.5	Rules, regulations, instructions manual and records for discharging functions[Section 4(1)(b)(v)]							
1.5.1	Title and nature of the record/ manual /instruction.	Fully Met	1.92	1.92	Please refer point 4.b.v on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.92	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf; https://barodaupbank.in/pdf/BU PB%20SERVICE%20REGULATIONS.pdf
1.5.2	List of Rules, regulations, instructions manuals and records.	Fully Met	1.92	1.92	Please refer point 4.b.v on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.92	Same as above
1.5.3	Acts/ Rules manuals etc.	Fully Met	1.92	1.92	Please refer point 4.b.v on RTI Page - https://barodaupbank.in/rti.php	Fully Met	1.92	Same as above

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.5.4	Transfer policy and transfer orders	Fully Met	1.92	1.92	Transfer orders are being issued at management call/ as per banks requirements and as per CVC guidelines at decentralized structure level. Hence transfer order cannot be uploaded	Partially Met	0.96	Same as above; Baroda UP Gramin Bank, Gorakhpur is advised to provide/upload complete detail
1.6	Categories of documents held by the authority under its control[Section 4(1)(b) (vi)]							
1.6.1	Categories of documents	Fully Met	3.85	3.85	Please refer point 4.b.vi on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.85	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
1.6.2	Custodian of documents/categories	Fully Met	3.85	3.85	Please refer point 4.b.vi on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.85	Same as above
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]							
1.7.1	Name of Boards, Council, Committee etc.	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page -	Fully Met	0.96	https://barodaupbank.in/pdf/Baroda-U-P-B

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					https://barodaupbank.in/rti.php			ank-Suo-Moto.pdf ; https://barodaupbank.in/pdf/BU PB%20SERVICE%20REGULATIONS.pdf ; https://barodaupbank.in/board_of_directors.php
1.7.2	Composition	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	0.96	Same as above
1.7.3	Dates from which constituted	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	0.96	Same as above
1.7.4	Term/ Tenure	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	0.96	Same as above
1.7.5	Powers and functions	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page -	Fully Met	0.96	Same as above

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					https://barodaupbank.in/rti.php			
1.7.6	Whether their meetings are open to the public?	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	0.96	Same as above
1.7.7	Whether the minutes of the meetings are open to the public?	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page - https://barodaupbank.in/rti.php	Partially Met	0.48	Baroda UP Gramin Bank, Gorakhpur is advised to provide/upload complete detail
1.7.8	Place where the minutes if open to the public are available?	Fully Met	0.96	0.96	Please refer point 4.b.viii on RTI Page - https://barodaupbank.in/rti.php	Partially Met	0.48	Same as above
1.8	Directory of officers and employees[Section 4(1) (b) (ix)]							
1.8.1	Name and designation	Fully Met	3.85	3.85	Please refer point 4.b.ix on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.85	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
1.8.2	Telephone , fax and email ID	Fully Met	3.85	3.85	https://barodaupbank.in/contact.php	Fully Met	3.85	Same as above
1.9	Monthly Remuneration received by officers & employees including system of compensation[Section 4(1) (b) (x)]							

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.9.1	List of employees with Gross monthly remuneration	Fully Met	3.85	3.85	Please refer point 4.b.x on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.85	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
1.9.2	System of compensation as provided in its regulations	Fully Met	3.85	3.85	Please refer point 4.b.x on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.85	Same as above
1.10	Name, designation and other particulars of public information officers[Section 4(1) (b) (xvi)]							
1.10.1	Name and designation of the public information officer (PIO), Assistant Public Information officer (APIO) & Appellate Authority	Fully Met	3.85	3.85	Please refer point 4.b.xvi on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.85	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
1.10.2	Address, telephone numbers and email ID of each designated official.	Fully Met	3.85	3.85	Please refer point 4.b.xvi on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.85	Same as above
1.11	No. Of employees against whom Disciplinary action has been proposed/ taken(Section 4(2))							
1.11.1	No. of employees against whom disciplinary action has been (i) Pending for Minor penalty or major penalty proceedings	Not Applicable	0	0	empty	Partially Met	1.93	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; Baroda UP Gramin Bank, Gorakhpur is

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								advised to provide/upload complete detail
1.11.2	(ii) Finalised for Minor penalty or major penalty proceedings	Not Applicable	0	0	empty	Partially Met	1.93	Same as above
1.12	Programmes to advance understanding of RTI(Section 26)							
1.12.1	Educational programmes	Fully Met	1.92	1.92	https://barodaupbank.in/rti.php	Fully Met	1.92	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
1.12.2	Efforts to encourage public authority to participate in these programmes	Fully Met	1.92	1.92	https://barodaupbank.in/rti.php	Fully Met	1.92	Same as above
1.12.3	Training of CPIO/APIO	Fully Met	1.92	1.92	Bank is regularly conducting online webinars to train the PIO's working in Regions.	Partially Met	0.96	Baroda UP Gramin Bank, Gorakhpur is advised to provide/upload complete detail
1.12.4	Update & publish guidelines on RTI by the Public Authorities concerned	Fully Met	1.92	1.92	https://barodaupbank.in/rti.php	Fully Met	1.92	Guidelines on RTI are updated and published on regular intervals; Last update is as on 14.05.2024
1.13	Transfer policy and transfer orders[F No. 1/6/2011- IR dt. 15.4.2013]							

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1.13.1	Transfer Policy And Transfer Orders[F No. 1/6/2011- IR Dt. 15.4.2013]	Fully Met	7.69	7.69	Transfer orders are being issued at management call/ as per banks requirements and as per CVC guidelines at decentralized structure level. Hence transfer order cannot be uploaded	Partially Met	3.85	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; Baroda UP Gramin Bank, Gorakhpur is advised to provide/upload complete detail
Total			92	92		100	89	
2	Budget and Programme							
2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc.[Section 4(1)(b)(xi)]							
2.1.1	Total Budget for the public authority	Not Applicable	0	0	empty	Fully Met	10.00	Now available at: https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
2.1.2	Budget for each agency and plan & programmes	Not Applicable	0	0	empty	Fully Met	10.00	Same as above
2.1.3	Proposed expenditures	Not Applicable	0	0	empty	Fully Met	10.00	Same as above
2.1.4	Revised budget for each agency, if any	Not Applicable	0	0	empty	Fully Met	10.00	Same as above

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2.1.5	Report on disbursements made and place where the related reports are available	Not Applicable	0	0	empty	Fully Met	10.00	Same as above
2.2	Foreign and domestic tours(F.No. 1/8/2012- IR dt. 11.9.2012)							
2.2.1	Budget	Not Applicable	0	0	empty	Partially Met	8.34	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; Baroda UP Gramin Bank, Gorakhpur is advised to provide/upload complete detail
2.2.2	Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department.- (a) Places visited, (b) The period of visit, (c) The number of members in the official delegation, (d) Expenditure on the visit	Not Applicable	0	0	empty	Partially Met	8.34	Same as above
2.2.3	Information related to procurements- (a) Notice/tender enquires, and corrigenda if any thereon, (b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured, (c) The works contracts concluded – in any such combination of the above-and, (d) The rate/ rates and the total amount at which such procurement or works contract is to be executed.	Fully Met	16.67	16.67	https://barodaupbank.in/tender.php	Partially Met	8.34	https://barodaupbank.in/tender.php ; Baroda UP Gramin Bank, Gorakhpur is advised to provide/upload complete detail

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2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]							
2.3.1	Name of the programme of activity	Not Applicable	0	0	empty	Not Applicable	0	As per Baroda UP Gramin Bank, Gorakhpur, it is NOT applicable
2.3.2	Objective of the programme	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.3.3	Procedure to avail benefits	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.3.4	Duration of the programme/ scheme	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.3.5	Physical and financial targets of the programme	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.3.6	Nature/ scale of subsidy /amount allotted	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.3.7	Eligibility criteria for grant of subsidy	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.3.8	Details of beneficiaries of subsidy programme (number, profile etc)	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]							
2.4.1	Discretionary and non-discretionary grants/ allocations to State Govt./ NGOs/other institutions	Not Applicable	0	0	empty	Not Applicable	0	As per Baroda UP Gramin Bank, Gorakhpur, it is NOT applicable
2.4.2	Annual accounts of all legal entities who are provided grants by public authorities	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.5	Particulars of recipients of concessions, permits of authorizations granted by the public authority[Section 4(1) (b) (xiii)]							
2.5.1	Concessions, permits or authorizations granted	Not Applicable	0	0	empty	Not Applicable	0	As per Baroda

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	by public authority							UP Gramin Bank, Gorakhpur, it is NOT applicable
2.5.2	For each concessions, permit or authorization granted - (a) Eligibility criteria, (b) Procedure for getting the concession/ grant and/ or permits of authorizations, (c) Name and address of the recipients given concessions/ permits or authorizations, (d) Date of award of concessions/ permits of authorizations	Not Applicable	0	0	empty	Not Applicable	0	Same as above
2.6	CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]							
2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	Not Applicable	0	0	empty	Fully Met	50.00	Now available at: https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; https://barodaupbank.in/webdata/pdf/Final%20Annual%20Report%202022-23.pdf
Total			17	17		150	125	
3	Publicity Band Public interface							
3.1	Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation there of [Section 4(1)(b)(vii)] [F No 1/6/2011-IR dt. 15.04.2013]							
3.1.1	Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens	Fully Met	12.5	12.50	Various policies of the Bank having	Fully Met	12.50	https://barodaupbank.in/pdf/Baroda-U-P-B

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					<p>direct relevance to the customers are displayed on Bank's website at following link. (https://barodaupbank.in/customer.php#). The formulation of policies by the Bank for conducting its day to day affairs is an internal process that is undertaken as per a well-defined process. All the policies are approved by Board of Directors of the Bank. Public consultation is required, however</p>			ank-Suo-Moto.pdf

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					Member of public get participated in monthly meeting of Customer Service committee.			
3.1.2	Arrangements for consultation with or representation by - (a) Members of the public in policy formulation/ policy implementation, (b) Day & time allotted for visitors,(c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants	Fully Met	12.5	12.50	The features of various products and services offered by the Bank are displayed on Bank's website. Similarly, policies having implication on customerservice/transparency/customer rights etc are also displayed on Bank's website, which can be download by the customers free of charge.	Fully Met	12.50	Same as above

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					The Bank also displays contact numbers of its call centers and all its branches where customers can obtain information on any products and services offered by the Bank (Please refer point 4.b.viii & point 4.b.xv on RTI page - https://barodaupbank.in/rti.php)			
3.1.3	Public- private partnerships (PPP)- Details of Special Purpose Vehicle (SPV), if any	Not Applicable	0	0	empty	Not Applicable	0	As per Baroda UP Gramin Bank, Gorakhpur, it is NOT applicable
3.1.4	Public- private partnerships (PPP)- Detailed project reports (DPRs)	Not Applicable	0	0	empty	Not Applicable	0	Same as above
3.1.5	Public- private partnerships (PPP)- Concession agreements.	Not Applicable	0	0	empty	Not Applicable	0	Same as above
3.1.6	Public- private partnerships (PPP)- Operation and	Not Applicable	0	0	empty	Not Applicable	0	Same as

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	maintenance manuals							above
3.1.7	Public- private partnerships (PPP) - Other documents generated as part of the implementation of the PPP	Not Applicable	0	0	empty	Not Applicable	0	Same as above
3.1.8	Public- private partnerships (PPP) - Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government	Not Applicable	0	0	empty	Not Applicable	0	Same as above
3.1.9	Public- private partnerships (PPP) -Information relating to outputs and outcomes	Not Applicable	0	0	empty	Not Applicable	0	Same as above
3.1.10	Public- private partnerships (PPP) - The process of the selection of the private sector party (concessionaire etc.)	Not Applicable	0	0	empty	Not Applicable	0	Same as above
3.1.11	Public- private partnerships (PPP) - All payment made under the PPP project	Not Applicable	0	0	empty	Not Applicable	0	Same as above
3.2	Are the details of policies / decisions, which affect public, informed to them[Section 4(1) (c)]							
3.2.1	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Policy decisions/ legislations taken in the previous one year	Fully Met	16.67	16.67	Bank publish all relevant materialistic event and information at - https://barodaupbank.in/	Partially Met	8.34	Baroda UP Gramin Bank, Gorakhpur is advised to provide/upload complete detail
3.2.2	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Outline the Public consultation process	Not Applicable	0	0	empty	Partially Met	8.34	Same as above
3.2.3	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive- Outline the arrangement for consultation before formulation of policy	Not Applicable	0	0	empty	Partially Met	8.34	Same as above

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3.3	Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]							
3.3.1	Use of the most effective means of communication - Internet (website)	Fully Met	50	50.00	https://barodaupbank.in/	Fully Met	50.00	https://barodaupbank.in/index.php ; https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
3.4	Form of accessibility of information manual/ handbook[Section 4(1)(b)]							
3.4.1	Information manual/handbook available in Electronic format	Fully Met	25	25.00	All the information manual/Handbook related to RTI/CIC/Sec 4-RTI compliance/service charges/Rate of Interest/Application forms and other relevant information are available on bank website in electronic formate, which can be downloaded/printed by any member of public as per	Fully Met	25.00	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					his convenience without any charges at https://barodaupbank.in/rti.php			
3.4.2	Information manual/handbook available in Printed format	Fully Met	25	25.00	All the information in annual/Handbook related to RTI/CIC/Sec 4-RTI compliance/service charges/Rate of Interest/Application forms and other relevant information are available on bank website in electronic formate, which can be downloaded/printed by any member of public as per his convenience without any	Fully Met	25.00	Same as above

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					charges at https://barodaupbank.in/rti.php			
3.5	Whether information manual/ handbook available free of cost or not [Section 4(1)(b)]							
3.5.1	List of materials available Free of cost	Fully Met	25	25.00	All the information manual/Handbook related to RTI/CIC/Sec 4-RTI compliance/service charges/Rate of Interest/Application forms and other relevant information are available on bank website in electronic formate, which can be downloaded/printed by any member of public as per his convenience without any charges. The public is not	Fully Met	25.00	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					required to pay even nominal charge for acquiring any information relevant to public at large, including various application forms. Hence 3.5.2 is not applicable for bank. https://barodaupbank.in/rti.php			
3.5.2	List of materials available At a reasonable cost of the medium	Not Applicable	0	0	empty	Fully Met	25.00	Same as above
Total			167	167		225	200	
4	E-Governance							
4.1	Language in which Information Manual/Handbook Available [F No. 1/6/2011-IR dt. 15.4.2013]							
4.1.1	English	Fully Met	14.29	14.29	https://barodaupbank.in/	Fully Met	14.29	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
4.1.2	Vernacular/ Local Language	Fully Met	14.29	14.29	Website as well as all the documents which is	Partially Met	7.15	Baroda UP Gramin Bank, Gorakhpur is advised to pro

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					required by general public has been uploaded on the website in vernacular i.e. Hindi language- http://barodaupbank.in/			vide/upload complete detail
4.2	When was the information Manual/Handbook last updated?[F No. 1/6/2011-IR dt 15.4.2013]							
4.2.1	Last date of Annual updation	Fully Met	28.57	28.57	Information Manual/Handbook gets update timely on Bank's website as per applicability	Fully Met	28.57	14.05.2024
4.3	Information available in electronic form[Section 4(1)(b)(xiv)]							
4.3.1	Details of information available in electronic form	Fully Met	9.52	9.52	Various kind of bank's products, services, duties, functions, All the information manual/Handbook related to RTI/CIC/Sec 4 -RTI compliance/service charges/Rate	Fully Met	9.52	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					of interest/App lication forms and other relevant information are available on bank website in electronic formate, which can be downloaded/p rinted by any member of public as per his convenience without any charges.			
4.3.2	Name/ title of the document/record/ other information	Fully Met	9.52	9.52	Information at bank's website is provided under well defined structure of each kind of information.	Fully Met	9.52	Same as above
4.3.3	Location where available	Fully Met	9.52	9.52	https://barodaupbank.in/	Fully Met	9.52	Same as above
4.4	Particulars of facilities available to citizen for obtaining information[Section 4(1)(b)(xv)]							
4.4.1	Name & location of the faculty	Fully Met	7.14	7.14	https://barodaupbank.in/	Fully Met	7.14	https://barodaupbank.in/

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					upbank.in/contact.php			upbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
4.4.2	Details of information made available	Fully Met	7.14	7.14	All kind of information related to facilities/services which Bank provides are made available to public at large.	Fully Met	7.14	Same as above
4.4.3	Working hours of the facility	Fully Met	7.14	7.14	Information on bank website is available to public irrespective to any specific time, it is available 24*7*365. Further, the list of toll free number/telephone number has been published Bank's website on below	Fully Met	7.14	Same as above

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					mentioned link- Please refer to point 4.b.xv on RTI page - https://barodaupbank.in/rti.php			
4.4.4	Contact person & contact details (Phone, fax email)	Fully Met	7.14	7.14	Please refer point 4.b.xvi on RTI Page - https://barodaupbank.in/rti.php	Fully Met	7.14	Same as above
4.5	Such other information as may be prescribed under Section 4(i) (b)(xvii)							
4.5.1	Grievance redressal mechanism	Fully Met	3.57	3.57	Please refer customer grievance policy on Page - https://barodaupbank.in/customer.php	Fully Met	3.57	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; https://barodaupbank.in/complaint.php ; https://barodaupbank.in/webdata/pdf/Complaint_redressal_policy.pdf
4.5.2	Details of applications received under RTI and information provided	Fully Met	3.57	3.57	Please refer point 4.b.xvii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	3.57	Same as above; https://barodaupbank.in/rti.php

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.5.3	List of completed schemes/ projects/ Programmes	Fully Met	3.57	3.57	hp All govt sponsored schemes/Programmes are being implemented in true spirit by the bank for the public benefit and being monitored by Bank's financial inclusion dept.	Fully Met	3.57	Same as above
4.5.4	List of schemes/ projects/ programme underway	Fully Met	3.57	3.57	All govt sponsored schemes/Programmes are being implemented in true spirit by the bank for the public benefit and being monitored by Bank's financial inclusion dept.	Fully Met	3.57	Same as above
4.5.5	Details of all contracts entered into including	Fully Met	3.57	3.57	https://baroda	Partially Met	1.79	Same as

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	name of the contractor, amount of contract and period of completion of contract				upbank.in/ten der.php			above; Baroda UP Gramin Bank, Gorakhpur is advised to pro vide/upload complete detail
4.5.6	Annual Report	Fully Met	3.57	3.57	https://baroda upbank.in/gla nce.php	Fully Met	3.57	Same as above; https:// barodaupbank .in/webdata/p df/Final%20A nnual%20Rep ort%202022-2 3.pdf
4.5.7	Frequently Asked Question (FAQs)	Fully Met	3.57	3.57	https://baroda upbank.in/FA Q.php	Fully Met	3.57	Same as above; https:// barodaupbank .in/FAQ.php
4.5.8	Any other information such as - (a) Citizen's Charter, (b) Result Framework Document (RFD), (c) Six monthly reports on the , (d) Performance against the benchmarks set in the Citizen's Charter	Fully Met	3.57	3.57	https://baroda upbank.in/	Partially Met	1.79	https://baroda upbank.in/pdf/ Citizen%20Ch arter%20-%20 for%20upload. pdf; Baroda UP Gramin Bank, Gorakhpur is advised to pro vide/upload complete

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL detail in respect of (b), (c) and (d)
4.6	Receipt & Disposal of RTI applications & appeals [F.No 1/6/2011-IR dt. 15.04.2013]							
4.6.1	Details of applications received and disposed	Fully Met	14.29	14.29	Please refer point 4.b.xvii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	14.29	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf ; https://barodaupbank.in/rti.php
4.6.2	Details of appeals received and orders issued	Fully Met	14.29	14.29	Please refer point 4.b.xvii on RTI Page - https://barodaupbank.in/rti.php	Fully Met	14.29	Same as above
4.7	Replies to questions asked in the parliament[Section 4(1)(d)(2)]							
4.7.1	Details of questions asked and replies given	Fully Met	28.57	28.57	Details of the questions asked in the parliaments and replies given by bank has been provided under the "Other SUO-MOTO Disclosure by the Bank for general	Fully Met	28.57	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					information and convenience of the public at large" which is given on RTI page of Bank's website on below mentioned link- https://barodaupbank.in/rti.php			
Total			200	200		200	189	
5	Information as may be prescribed							
5.1	Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17.8.2016, F No. 1/6/2011-IR dt. 15.4.2013]							
5.1.1	Name & details of - (a) Current CPIOs & FAAs, (b) Earlier CPIO & FAAs from 1.1.2015	Fully Met	20	20.00	Current CPIO & FAA may be accessed by point 4.b.xvi on RTI Page - https://barodaupbank.in/rti.php	Fully Met	20.00	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
5.1.2	Details of third party audit of voluntary disclosure - (a) Dates of audit carried out , (b) Report of the audit carried out	Fully Met	20	20.00	Third Party Audit of Proactive Disclosure was not conducted as our bank's	Not Applicable	0	Being carried out for 2023-24

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					name (RRB) was not mapped on CIC's Portal.			
5.1.3	Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD - (a) Date of appointment , (b) Name & Designation of the officers	Fully Met	20	20.00	Chief Manager (Legal), Head Office, Shri Rajnish Kumar Pandey is appointed as nodal officer of the Bank to receive online RTI Applications from the Portal.	Fully Met	20.00	https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
5.1.4	Consultancy committee of key stake holders for advice on suo-motu disclosure - (a) Dates from which constituted, (b) Name & Designation of the officers	Not Applicable	0	0	empty	Fully Met	20.00	Now available at: https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI - (a) Dates from which constituted, (b) Name & Designation of the Officers	Not Applicable	0	0	empty	Fully Met	20.00	Same as above
Total			60	60		80	80	
6	Information Disclosed on own Initiative							
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information							

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
6.1.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information	Fully Met	25	25.00	A well defined & segregated information is being provided by Bank so that public have minimum resort to use of RTI Act to obtain information at https://barodaupbank.in/rti.php	Fully Met	25.00	https://barodaupbank.in/index.php ; https://barodaupbank.in/pdf/Baroda-U-P-Bank-Suo-Moto.pdf
6.2	Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Publ							
6.2.1	Whether STQC certification obtained and its validity	Fully Met	12.5	12.50	Bank had initiated the process of getting the certification. However, during the preparation of the documents for the certification, Bank staff got in touch with	Not Met	0	Baroda UP Gramin Bank, Gorakhpur is advised to initiate the process of obtaining STQC certification and uploading the same

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					<p>the STQC officials and they had informed us that this particular certification is for GOI websites only. In other words, the websites with domain names ending with gov.in or nic.in or edu.in or similar domains are eligible for the certification. Since our Bank's website is having .in.com, co.in domain names, we are not eligible for same. The same is</p>			

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
					mentioned in the Guidelines for Indian Govt Websites - GI GW2018_Released version.pdf under point 2 (page no 23 to 31). The document is linked here - https://www.stqc.gov.in/sites/default/files/Guidelines%2for%20Indian%20Govt%20Websites%20-%20GI%20GW2018_Released%20version.pdf			
6.2.2	Does the website show the certificate on the Website?	Not Applicable	0	0	empty	Not Met	0	Same as above
Total			38	38		50	25	
Grand Total			573	573		805	709	